IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

John L. Beezer et al.

Serial No.:

09/455,806

Filed:

December 7, 1999

For:

Method and Apparatus for Capturing and

Rendering Annotations for Non-Modifiable

Electronic Content

Atty. Docket No.:

003797.84617

Group Art Unit:

2171

Examiner:

Thai, Hanh B.

Confirmation No.: 5592

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(i)

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 C.F.R. § 1.56(a), Applicants submit herewith the references identified on the attached PTO/SB/08a form.

Applicants respectfully request that the Examiner place these references in the file in accordance with 37 C.F.R. § 1.97(i). In the event it is appropriate to consider these references, the Examiner is requested to do so and to return an initialed copy of the PTO/SB/08a form to the undersigned.

Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application.

Should a fee be deemed necessary, the Patent Office is authorized to charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: January 14, 2005

By:

Gary D. Feddrochko

Registration No. 35,509

Eleventh Floor 1001 G Street, N.W. Washington, D.C. 20001-4597 (202) 508-9100

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	09/455,806	
Filing Date	12/07/1999	
First Named Inventor	John L. Beezer et al.	
Art Unit	2171	
Examiner Name	Thai, Hanh B.	
Attorney Docket Number	003797.84617	

	U.S. PATENT DOCUMENTS				
Examiner Cite No.1	Cite	te Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	No.¹	Number - Kind Code ² (if known)			
		US- 5,784,058	07-21-1998	LaStrange et al.	
		US- 6,710,790 B1	03-23-2004	Fagioli	
		US-			
-		US-			
		US-			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
		GREENBERG, SAUL, "A Fisheye Text Editor for Relaxed-WYSIWIS Groupware", Chi 1996 Proceedings, Pages 1 to 5	

Examiner Signature	 Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Statistand ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numbers.

6	AU	, _	
4	21	7	j

(to be used for all correspondence after initial filing)

TRANSMITTAL **FORM**

Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name

Total Number of Pages in This Submission

Application Number	09/455,806	
Filing Date	12/07/1999	
First Named Inventor	John L. Beezer et al.	
Art Unit	2171	
Examiner Name	Thai, Hanh B.	
Attorney Docket Number	003797.84617	

ENCLOSURES (check all that apply)				
Fee Transmittal Form	☐ Drawing(s)	☐ After Allowance Communication to TC		
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final	Petition to Convert to a Provisional Application	Proprietary Information		
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter		
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):		
Express Abandonment Request	Request for Refund	PTO/SB/08a; copy of one non U.S. document		
	CD, Number of CD(s)			
Information Disclosure Statement Under 37 CFR 1.97(i)	☐ Landscape Table on CD			
Certified Copy of Priority Document(s)	Remarks			
Reply to Missing Parts/ Incomplete Application				
Reply to Missing Parts				
under 37 CFR1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm	Banner & Witcoff, LTD.			
Signature	Deng V. Tarlow M.			
Printed Name	Gary D. Fedorochko			
Date	January 14, 2005			
CERTIFICATE OF TRANSMISSION/MAILING				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.1 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,